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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ernie W.
#3/A
6.11.02

Applicant: Murphy et al.

Art Unit: 2164

Serial No.: 10/047,307

Docket No.: END920020094US1

Filing Date: January 15, 2002

Examiner: Unknown

Title: METHOD AND SYSTEM FOR
RECEIVING AN ALERT CODE IN
A COMMERCIAL TRANSACTION

Box Non-Fee Amendment
Commissioner For Patents
Washington, D.C. 20231

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Sir:

PRELIMINARY AMENDMENT

Prior to initial examination on the merits, please amend the above-identified application
as follows:

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In the Specification

Please amend the Specification to appear as set forth below. A separate markup sheet showing
the revisions accompanies this Preliminary Amendment.

The paragraph spanning page 11, lines 10-16:

Q1 In an alternative embodiment, user 38 could designate that he/she will communicate with
message center 44 to retrieve messages from certain contacts on the list, and directly

2162

TRANSMITTAL LETTER
(General - Patent Pending)

2164

Docket No.
END920010094US1

In Re Application Of: Murphy, Jr. et al.

Serial No.
10/047,307

Filing Date
1/15/2002

Examiner
Unknown

Group Art Unit
2164

Title: **METHOD AND SYSTEM FOR RECEIVING AN ALERT CODE IN A COMMERCIAL TRANSACTION**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

Preliminary Amendment in 3 pgs.
Separate Sheet in 2 pgs.
Acknowledgment Postcard

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in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 500999 as described below. A duplicate copy of this sheet is enclosed.
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- ☒ Charge any additional fee required.

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Dated: April 11, 2002


Signature

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I certify that this document and fee is being deposited on 4/11/2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.


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